

6-04-01

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PTO/SB/05 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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### UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 3764P233

(maximum 12 characters)

First Named Inventor Brandon Yoe, et al.

Title: RADIATION OR DRUG DELIVERY SOURCE WITH ACTIVITY GRADIENT TO MINIMIZE EDGE EFFECTS.  
(Guidant Disclosure # 2515)

Express Mail Label No. EL672749571US

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, D. C. 20231

### APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original, and a duplicate for fee processing)
2. ☐ Applicant Claims Small Entity Status. (37 CFR 1.27)
3. ☒ Specification (Total Pages 33)  
(preferred arrangement set forth below)
  - Descriptive Title of the Invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference sequence listing, a table,  
or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4. 11 Drawings(s) (35 USC 113) (Total Sheets 11)
5. ☒ Oath or Declaration (Total Pages 5)
  - a. ☐ Newly Executed (Original or Copy)
  - b. ☐ Copy from a Prior Application (37 CFR 1.63(d))  
(for Continuation/Divisional with Box 17 completed)
    - i. ☐ DELETIONS OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
  - c. ☒ Unsigned.
6. ☐ Application Data Sheet. (37 CFR 1.76)
7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. ☐ Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
  - a. ☐ Computer Readable Form (CRF)
  - b. ☐ Specification Sequence Listing on:
    - i. ☐ CD-ROM or CD-R (2 copies); or
    - ii. ☐ paper
  - c. ☐ Statement verifying identity of above copies

The following are the names of the persons who have been appointed to the various positions in the various departments of the Government of the State of New York, for the year ending December 31, 1900:

- 18A. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:**

**Applicant(s):** Also include a Preliminary Amendment to amend the specification to claim priority. For CONTINUATION AND DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

The undersigned states that \_\_\_\_\_ (name of assignee) is the assignee of the entire right, title, and interest in the accompanying patent application by virtue of an assignment recorded in the Patent and Trademark Office at Reel. No. \_\_\_\_\_ Frame No. \_\_\_\_\_ (or a copy of which is attached).

**X** Correspondence Address Below

**BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP**

## Seventh Floor

Country U.S.A. TELEPHONE (408) 720-8300 FAX (408) 720-9397

Signature: [Signature] Dat : May 31, 2001

**FEE TRANSMITTAL FOR FY 2001**

|                                     |                         |
|-------------------------------------|-------------------------|
| <b>TOTAL AMOUNT OF PAYMENT (\$)</b> | <b><u>\$1400.00</u></b> |
|-------------------------------------|-------------------------|

**Complete if Known:**

**Application No.** Not Yet Assigned

**Filing Date** May 31, 2001

**First Named Inventor** Brandon Yoe, et al.

Group Art Unit Not Yet Assigned

Examiner Name Not Yet Assigned

Attorney Docket No. 3764P233

**METHOD OF PAYMENT (check one)**

1. [ ] The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

**Deposit Account Number** 02-2666

**Deposit Account Name** \_\_\_\_\_

- [ X ] Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17**

- [ ] Applicant claims small entity status. See 37 CFR 1.27**

2.     x     Payment Enclosed:     x     Check  
                      Credit Card  
                      Money Order  
                      Other

## FEE CALCULATION

## 1. BASIC FILING FEE

| <u>Large Entity</u> |      | <u>Small Entity</u> |      |                                    |                 |
|---------------------|------|---------------------|------|------------------------------------|-----------------|
| Fee                 | Fee  | Fee                 | Fee  |                                    |                 |
| Code                | (\$) | Code                | (\$) | <u>Fee Description</u>             | <u>Fee Paid</u> |
| 101                 | 710  | 201                 | 355  | Utility application filing fee     | <u>\$710.00</u> |
| 106                 | 320  | 206                 | 160  | Design application filing fee      |                 |
| 107                 | 490  | 207                 | 245  | Plant filing fee                   |                 |
| 108                 | 710  | 208                 | 355  | Reissue filing fee                 |                 |
| 114                 | 150  | 214                 | 75   | Provisional application filing fee |                 |

**SUBTOTAL (1)    \$ 710.00**

## 2. EXTRA CLAIM FEES

|                                   |           |                            |                         |                          |
|-----------------------------------|-----------|----------------------------|-------------------------|--------------------------|
| <b>2. <u>EXTRA CLAIM FEES</u></b> |           |                            | <b><u>Fee from</u></b>  | <b><u>Fee Paid</u></b>   |
|                                   |           | <b><u>Extra Claims</u></b> | <b><u>below</u></b>     |                          |
| <b>Total Claims</b>               | <u>45</u> | <b>- 20** =</b> <u>25</u>  | <b>X</b> <u>\$18.00</u> | <b>=</b> <u>\$450.00</u> |
| <b>Independent Claims</b>         | <u>6</u>  | <b>- 3** =</b> <u>3</u>    | <b>X</b> <u>\$80.00</u> | <b>=</b> <u>\$240.00</u> |
| <b>Multiple Dependent</b>         |           |                            |                         | <b>=</b>                 |

**\*\*Or number previously paid, if greater; For Reissues, see below.**

| <u>Large Entity</u> |      | <u>Small Entity</u> |      |   |
|---------------------|------|---------------------|------|---|
| Fee                 | Fee  | Fee                 | Fee  |   |
| Code                | (\$) | Code                | (\$) | <u>Fee Description</u>                                    |
| 103                 | 18   | 203                 | 9    | Claims in excess of 20                                    |
| 102                 | 80   | 202                 | 40   | Independent claims in excess of 3                         |
| 104                 | 270  | 204                 | 135  | Multiple dependent claim, if not paid                     |
| 109                 | 80   | 209                 | 40   | **Reissue independent claims over original patent         |
| 110                 | 18   | 210                 | 9    | **Reissue claims in excess of 20 and over original patent |

**SUBTOTAL (2)    \$ 690.00**

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

| <u>Large Entity</u> |             | <u>Small Entity</u> |             | <u>Fee Descripti n</u>   | <u>Fee Paid</u> |
|---------------------|-------------|---------------------|-------------|--|-----------------|
| <u>Fee</u>          | <u>Fee</u>  | <u>Fee</u>          | <u>F e</u>  |  |                 |
| <u>Code</u>         | <u>(\$)</u> | <u>Code</u>         | <u>(\$)</u> |  |                 |
| 105                 | 130         | 205                 | 65          | Surcharge - late filing fee or oath  | _____           |
| 127                 | 50          | 227                 | 25          | Surcharge - late provisional filing fee or cover sheet                     | _____           |
| 139                 | 130         | 139                 | 130         | Non-English specification  | _____           |
| 147                 | 2,520       | 147                 | 2,520       | For filing a request for ex parte reexamination                            | _____           |
| 112                 | 920*        | 112                 | 920*        | Requesting publication of SIR prior to Examiner action                     | _____           |
| 113                 | 1,840*      | 113                 | 1,840*      | Requesting publication of SIR after Examiner action                        | _____           |
| 115                 | 110         | 215                 | 55          | Extension for reply within first month                                     | _____           |
| 116                 | 390         | 216                 | 195         | Extension for reply within second month                                    | _____           |
| 117                 | 890         | 217                 | 445         | Extension for reply within third month                                     | _____           |
| 118                 | 1,390       | 218                 | 695         | Extension for reply within fourth month                                    | _____           |
| 128                 | 1,890       | 228                 | 945         | Extension for reply within fifth month                                     | _____           |
| 119                 | 310         | 219                 | 155         | Notice of Appeal   | _____           |
| 120                 | 310         | 220                 | 155         | Filing a brief in support of an appeal                                     | _____           |
| 121                 | 270         | 221                 | 135         | Request for oral hearing   | _____           |
| 138                 | 1,510       | 138                 | 1,510       | Petition to institute a public use proceeding                              | _____           |
| 140                 | 110         | 240                 | 55          | Petition to revive - unavoidable   | _____           |
| 141                 | 1,240       | 241                 | 620         | Petition to revive - unintentional   | _____           |
| 142                 | 1,240       | 242                 | 620         | Utility issue fee (or reissue)   | _____           |
| 143                 | 440         | 243                 | 220         | Design issue fee   | _____           |
| 144                 | 600         | 244                 | 300         | Plant issue fee  | _____           |
| 122                 | 130         | 122                 | 130         | Petitions to the Commissioner  | _____           |
| 123                 | 50          | 123                 | 50          | Processing fee under 37 CFR 1.17(q)  | _____           |
| 126                 | 180         | 126                 | 180         | Submission of Information Disclosure Stmt                                  | _____           |
| 581                 | 40          | 581                 | 40          | Recording each patent assignment per property (times number of properties) | _____           |
| 146                 | 710         | 246                 | 355         | For filing a submission after final rejection (see 37 CFR 1.129(a))        | _____           |
| 149                 | 710         | 249                 | 355         | For each additional invention to be examined (see 37 CFR 1.129(b))         | _____           |
| 179                 | 710         | 279                 | 355         | Request for Continued Examination (RCE)                                    | _____           |
| 169                 | 900         | 169                 | 900         | Request for expedited examination of a design application                  | _____           |
| 195                 | 300         | 195                 | 300         | Publication fee for early, voluntary, or normal publication                | _____           |
| 196                 | 300         | 196                 | 300         | Publication fee for republication  | _____           |
| 194                 | 130         | 194                 | 130         | Request for voluntary publication or republication                         | _____           |
| 098                 | 130         | 098                 | 130         | Processing fee under 37 CFR 1.17(i)  | _____           |
| 091                 | 1,240       | 091                 | 1,240       | Acceptance of unintentionally delayed claim for priority                   | _____           |

Other fee (specify) \_\_\_\_\_

Other fee (specify) \_\_\_\_\_

**SUBTOTAL (3) \$ 00.00**

\*Reduced by Basic Filing Fee Paid

**SUBMITTED BY:**Typed r Printed Name: Suk LeeSignatur :  Dat : May 31, 2001Reg. Numb r: 47,745 T l ph n Number: (408) 720-8300

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